

Claim Supplement – Errors and Omissions WHEN ANY ONE OF THE CLAIMS QUESTIONS IS ANSWERED YES, PLEASE COMPLETE THIS FORM FOR EACH CLAIM.

| 2. When did claim occur? | | |
|--|-------|------|
| 3. Details and background of claim (include positions of persons involved and if they are still employed): | | |
| | | |
| 4. Has any state department or other regulations board filed any litigation against any person involved? | 🛛 Yes | 🛛 No |
| 5. Is the claim open or closed? Open Closed | | |
| 6. Amount of defense costs paid? | | |
| 7. Settlement amount (if any)? | | |
| 8. Was the claim covered by insurance? | 🖵 Yes | 🛛 No |
| a. If "Yes," what amount was paid by the insurer? | | |
| b. If the claim is still open, what amount of reserve has been set up by the insurer? | | |
| 9. What remedial measures have been taken to prevent a recurrence of a similar claim? | | |

The information on this supplement is material to the company underwriting this risk and shall be made a part of this policy as if physically attached hereto.

Applicant's signature _____ Date: _____

(Principal, Partner or Officer)